

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: AURELIO PIANCIOLA, et al

Examiner:

Serial No:

Group Art Unit:

Filed:

For: PUMPING METHOD AND UNIT FOR  
OPTICAL AMPLIFIERSINFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98Commissioner of Patents  
Alexandria, VA 22313-1450

Dear Sir:


The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

Applicant wishes to point out that, since cited reference JP 10-135550 is in Japanese, applicant has provided a copy of the Abstract of the counterpart US patent 4,674,830.

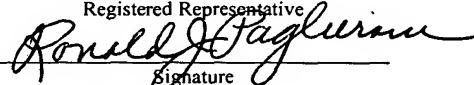
This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.


Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

  
 Ronald J. Paglierani  
 Registration No. 29,201  
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 Corning, NY 14831  
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Date: AUG. 19, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL in an envelope addressed to Commissioner of Patents, Alexandria, Va 22313-1450 on <u>AUGUST 19, 2003</u> Express Mail No. EV327189728 US	
Date of Deposit	
_____ Name of applicant, assignee, or Registered Representative	
 Signature	
<u>AUGUST 19, 2003</u> Date of Signature	

<b>Notice of References Cited</b>	Application/Control No. 10/027,105	Applicant(s)/Patent Under Reexamination PIANCIOLA ET AL. 	
	Examiner Mark Hellner	Art Unit 3663	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-5125053 B1	06-1992	Abe et al	385/36
	B	US-5513033 B1	04-1996	Bricheno et al	359/341
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N	EP 1220383 A1	07-2002	EPO	Pianciola et al	-
	O	10-135550	10-1998	Japan	Stanford university	-
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

FORM PTO-1449 (MODIFIED)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT	ATTORNEY DOCKET NO.	SERIAL NO.
	PI00-145A	
	APPLICANT AURELIO PIANCIOLA, et al.	
	FILING DATE	GROUP:

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA	4,674,830	6/23/87	Shaw, et al	350	96.15	
	AB	5,485,481	1/19/96	Ventrudo, et al	372	6	
	AC	5,574,807	11/12/96	Snitzer	385	24	
	AD	5,699,377	12/16/97	Pan	372	92	
	AE	5,760,949	6/2/98	Motoshima, et al	359	341	
	AF	5,844,926	12/1/98	Byron, et al	372	6	
	AG	5,125,053	6/23/92	Abe, et al	385	56	
	AH	5,513,033	4/30/96	Bricheno, et al	359	341	
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation Yes No
✓	AL	EP1220383A1	3/7/02	Europe			
✓	AM	EP0444694B1	17/7/96	Europe			
/	AN	EP0707224A1	17/4/96	Europe			
/	AO	EP0793314B1	28/3/01	Europe			
/	AP	10-135550	10/98	Japan (abstract)			
	AQ						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
	AR	Raman Kashyap, "Fiber Bragg Gratings," Academic Press, 1999, p. 276-284
	AS	M. Ohashi, et al, "Novel Pump-LD With Self Wavelength-Tuning Function," Proceedings of 26 <sup>th</sup> European Conference on Optical Communication, Sept. 2000, p. 123-4
	AT	
	AU	
	AV	
	AW	

EXAMINER: \_\_\_\_\_ DATE CONSIDERED: \_\_\_\_\_

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (MODIFIED)

ATTORNEY DOCKET NO.

SERIAL NO.

PI00-145US

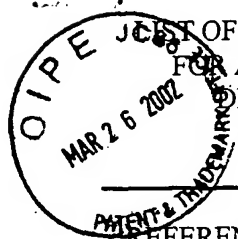
10/027,105

U.S. DEPT. OF PATENTS AND PUBLICATIONS  
FOR APPLICANTS INFORMATION  
DISCLOSURE STATEMENT

APPLICANT Aurelio et al

FILING DATE December 20, 2001

GROUP: 2633



## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
gmu	AA	5,485,481	01/96	Ventrudo et al	—	—	
	AB	5,574,807	11/96	Snitzer	—	—	
	AC	5,699,377	12/97	Pan	—	—	
	AD	5,760,949	06/98	Motoshima et al	—	—	
gmu	AE	5,844,926	12/98	Byron et al	—	—	
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation Yes	No
gmu	AL	EP 0 444 694	09/91	Europe	—	—		
	AM	EP 0 707 224	04/96	Europe	—	—		
gmu	AN	EP 0 793 314	03/01	Europe	—	—		
	AO							
	AP							
	AQ							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

gmu	AR	M. Ohashi et al, "Novel Pump-LD With Self Wavelength-Tuning Function," Proceedings of 26 <sup>th</sup> European Conference on Optical Communication, September, 2000, p. 123-4.
gmu	AS	Raman Kashyap, "Fiber Bragg Gratings," Academic Press, 1999, p. 276-284.
	AT	
	AU	
	AV	
	AW	

EXAMINER:

Mark Hellner

DATE CONSIDERED:

02-16-2003

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.